

MEMORANDUM OF INFORMATION

ABOUT THE DRAWER:

ANDREW MORTON GARRETT; HOLDER OF AUSTRALIAN PASSPORT No; N3926144 & EUROPEAN UNION PASSPORT NUMBER; GBR 538401308 ISSUED BY THE UNITED KINGDOM OF GREAT BRITAIN & NORTHERN IRELAND RESIDENCE 10/15 HUNTER STREET, HOBART, TASMANIA, 7000.

- MANAGING TRUSTEE/CHAIRMAN; OENOVIVA CAPITAL RESOURCES/OENOVIVA GLOBAL/OENOVIVA ARTISANS, THE AUSTRALIAN PEOPLE FUTURE FUND & ISLAND BIO ENERGY AUSTRALIA TRUST
- MANAGING CONTROLLER OF OENOVIVA (CHINA) PTY LTD & OENOVIVA (NORTH AMERICA) PTY LTD AS JOINT TRUSTEES OF THE ANDREW GARRETT FAMILY TRUST No 4 TRADING AS OENOVIVA CAPITAL RESOURCES/OENOVIVA GLOBAL/OENOVIVA ARTISANS.

AUSTRALIAN WINE SUPPLY LIMITED : HONG KONG COMPANY REGISTER NUMBER; 1657912 OF LEVEL 19, TWO INTERNATIONAL FINANCE CENTRE, 8 FINANCE STREET, CENTRAL, HONG KONG AND

ANTIPODEAN INDUSTRIES PTY LTD; AUSTRALIAN COMPANY REGISTER NUMBER; 616626209 OF LEVEL 6, RESERVE BANK BUILDING, 111 MACQUARIE STREET, HOBART, TAS, 7000

- AS JOINT TRUSTEES OF THE ANDREW GARRETT FAMILY TRUST No 4 TRADING AS OENOVIVA CAPITAL RESOURCES/OENOVIVA GLOBAL/ OENOVIVA ARTISANS, AUSTRALIAN PEOPLE FUTURE FUND & ISLAND BIO ENERGY AUSTRALIA TRUST

INTRODUCTION

THE GARRETT FAMILY IS SYNONYMOUS WITH WINEMAKING IN AUSTRALIA. ANDREW GARRETT HAS BEEN INVOLVED IN THE AUSTRALIAN WINE INDUSTRY FOR MORE THAN 25 YEARS AS A WINEMAKER, VIGNERON, INNOVATOR, ENTREPRENEUR, MARKETER AND PERSONALITY. IN THAT TIME HE AND RELATED ENTITIES HAVE DEVELOPED OVER 1,500 HECTARES OF VINEYARD PLANTINGS IN AUSTRALIA'S PREMIUM WINE REGIONS.

ANDREW ENTERED THE WINE INDUSTRY AS A CELLAR HAND IN 1974. IN 1984 ANDREW CREATED HIS OWN COMPANY AND LAUNCHED HIS FIRST WINE UNDER THE ANDREW GARRETT LABEL. OVER THE NEXT 10 YEARS HE ESTABLISHED VINEYARDS IN AUSTRALIA AND NEW ZEALAND AND DEVELOPED NEW INTERNATIONAL JOINT VENTURES IN BORDEAUX, PROVENCE, BURGUNDY, CHAMPAGNE, ALSACE, STELLENBOSCH, CALIFORNIA AND MENDOZA.

WINE SALES QUICKLY GREW TO MORE THAN 300,000 CASES ANNUALLY AND EXPORT SALES ESTABLISHED GARRETT AS A WINEMAKER AND MARKETER WITH AN INTERNATIONAL REPUTATION. IN 1993, AFTER THE ACQUISITION OF THE ANDREW GARRETT BRAND BY FOSTERS WINE ESTATES, ANDREW FORMED A NEW COMPANY, ANDREW GARRETT VINEYARD ESTATES, WHICH DEVELOPED MORE THAN 10 BRANDS INCLUDING MARTINDALE HALL, KELLY'S PROMISE, YARRA GLEN, SPRINGWOOD PARK, OLD STORNOWAY, IRONWOOD AND HOLY GRAIL ; SUBSEQUENTLY DEVELOPING OENOVIVA BUSINESS SYSTEMS, OENOVIVA HAND CRAFTING, OENOVIVA CAPITAL RESOURCES, OENOVIVA ARTISANS AND THE AUSTRALIAN PEOPLE FUTURE FUND AS MANAGING TRUSTEE/CHAIRMAN.

OENOVIVA CAPITAL RESOURCES OPERATES AS A PRIVATE MERCHANT BANK/INVESTMENT TRUST.

THE TRUSTEE FOR THE ANDREW GARRETT FAMILY TRUST No 4/ OENOVIVA CAPITAL RESOURCES (OVCR) ABN 42 388 204 496

[HTTPS://ABR.BUSINESS.GOV.AU/ABN/VIEW?ID=42388204496](https://abr.business.gov.au/abn/view?id=42388204496)

[HTTPS://ABR.BUSINESS.GOV.AU/SEARCH/RESULTSACTIVE?SEARCHTEXT=OENOVIVA%20CAPITAL%20RESOURCES](https://abr.business.gov.au/search/resultsActive?searchText=OENOVIVA%20CAPITAL%20RESOURCES)

OPERATING WEBSITES

[HTTPS://WWW.OENOVIVA-CAPITAL-RESOURCES.COM/](https://www.oenoviva-capital-resources.com/)

[HTTPS://OENOVIVA.COM/](https://oenoviva.com/)



OENOVIVA

TERMINOLOGY/DEFINITIONS/VALIDATION:

APOSTILLE ; A DOCUMENT AFFIXED TO THE FACE OF THE FINANCIAL INSTRUMENT UNDER THE APOSTILLE CONVENTION.

[HTTPS://WWW.APOSTILLE.COM.AU/](https://www.apostille.com.au/)

APOSTILLE CONVENTION;

[HTTP://WWW.EEAS.EUROPA.EU/ARCHIVES/DELEGATIONS/VIETNAM/DOCUMENTS/EU_VIETNAM/EU_APOSTILLE_EN.PDF](http://www.eeas.europa.eu/archives/delegations/vietnam/documents/eu_vietnam/eu_apostille_en.pdf)

THE HAGUE CONVENTION ABOLISHING THE REQUIREMENT OF LEGALISATION FOR FOREIGN PUBLIC DOCUMENTS, THE APOSTILLE CONVENTION, OR THE APOSTILLE TREATY, IS AN INTERNATIONAL TREATY DRAFTED BY THE HAGUE CONFERENCE ON PRIVATE INTERNATIONAL LAW. IT SPECIFIES THE MODALITIES THROUGH WHICH A DOCUMENT ISSUED IN ONE OF THE SIGNATORY COUNTRIES CAN BE CERTIFIED FOR LEGAL PURPOSES IN ALL THE OTHER SIGNATORY STATES. A CERTIFICATION UNDER THE TERMS OF THE CONVENTION IS CALLED AN APOSTILLE (*FROM LATIN POST ILLA AND THEN FRENCH: A MARGINAL NOTE*) OR HAGUE APOSTILLE. IT IS AN INTERNATIONAL CERTIFICATION COMPARABLE TO A NOTARISATION IN DOMESTIC LAW, AND NORMALLY SUPPLEMENTS A LOCAL NOTARISATION OF THE DOCUMENT. IF THE CONVENTION APPLIES BETWEEN TWO COUNTRIES, SUCH AN APOSTILLE IS SUFFICIENT TO CERTIFY A DOCUMENT'S VALIDITY, AND REMOVES THE NEED FOR DOUBLE-CERTIFICATION, BY THE ORIGINATING COUNTRY AND THEN BY THE RECEIVING COUNTRY.

APOSTILLE NUMBER

THE NUMBER ISSUED BY THE DEPARTMENT OF FOREIGN AFFAIRS & TRADE ("DFAT") SET OUT AT SECTION 8 OF THE APOSTILLE WHICH MAY BE VERIFIED AT;

[HTTPS://ORAO.DFAT.GOV.AU/PAGES/VERIFYAPOSTILLE.ASPX](https://orao.dfat.gov.au/pages/verifyapostille.aspx)

ASSOCIATION OF NATIONAL NUMBERING AGENCIES

[HTTPS://WWW.ANNASERVICE.COM/ANNA/WHATS.JSP](https://www.annaservice.com/anna/whats.jsp)

THE ASSOCIATION OF NATIONAL NUMBERING AGENCIES (ANNA) CONFIRMS THAT ONLY OFFICIAL NATIONAL NUMBERING AGENCIES (NNAs) CAN ISSUE VALID ISINs (INTERNATIONAL SECURITIES IDENTIFICATION NUMBERS). THE SAME APPLIES FOR CFI (CLASSIFICATION OF FINANCIAL INSTRUMENTS) ACCORDING TO ISO 10962 AND FISN (FINANCIAL INSTRUMENT SHORT NAME) ACCORDING TO ISO 18774.

ESTABLISHED IN 1992 BY 22 FOUNDERING NUMBERING AGENCIES, ANNA SERVES AS THE REGISTRATION AUTHORITY FOR ISIN AND CFI NUMBERING STANDARDS, UNDER APPOINTMENT BY THE INTERNATIONAL ORGANIZATION FOR STANDARDIZATION (ISO). THE NUMBER OF NNAs AND NATIONS USING THE ISIN NUMBERS AND CFI CODES TO STANDARDIZE IDENTIFICATION OF THEIR LOCALLY ISSUED SECURITIES HAS GROWN CONSISTENTLY YEAR AFTER YEAR.

ANNA "NUMBERS THE WORLD" BY ASSIGNING UNIQUE CODES THAT IDENTIFY SECURITIES IN 120+ NATIONS.

ANNA'S MEMBERS ARE AGENCIES THAT ARE PART OF FINANCIAL BANKS, EXCHANGES, DEPOSITORIES AND REGULATORY AGENCIES. IT'S PEOPLE ARE SENIOR EXPERTS FROM THE NATIONAL NUMBERING AGENCIES WHO CONTRIBUTE THEIR TIME AND EXPERTISE TO HELP NEW NUMBERING AGENCIES AND TO KEEP THE ISIN AT THE CUTTING EDGE OF FINANCIAL INDUSTRY EVOLUTION

ANNA AND NNAs HAVE NO DEALINGS OR AFFILIATION WITH ANY THIRD PARTY OFFERING COMMERCIAL SERVICES FOR ASSISTING TO OBTAIN OR MAINTAIN ISINs.

FOR ANY ASSISTANCE IN CONTACTING THE RESPONSIBLE NNA FOR YOUR REQUEST PLEASE CONTACT US DIRECTLY AT SECRETARIAT@ANNA-WEB.ORG . ALTERNATIVELY, CONTACT THE NNA RESPONSIBLE FOR YOUR MARKET TO ASSIST WITH YOUR ISIN REQUEST.

OenoViva Capital Resources ABN 42 388 204 496: A Discretionary Trust settled under the Common Law, the Law of the Commonwealth of Australia, South Australia & Hong Kong; trading as OenoViva (Global) as Licensor of Intellectual Property and as a Private Merchant Investment Bank.

❖ **Hong Kong:** Level 19, Two International Finance Centre, 8 Finance Street, Central, Hong Kong

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Email: admin@oenoviva-capital-resources.com



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IN AUSTRALIA THE RESPONSIBLE NNA IS THE AUSTRALIAN STOCK EXCHANGE (ASX)

[HTTPS://WWW.ASX.COM.AU/](https://www.asx.com.au/)

CFI CODE; DCZSFB

THE "CLASSIFICATION OF FINANCIAL INSTRUMENT" (CFI) CODE ISSUED BY THE ASX TO OVCR ACCORDING TO ISO 10962 IN RESPECT TO INTERNATIONAL BILLS OF EXCHANGE DRAWN BY OVCR UNDER THE BANKING ACT 1959 (AU), THE BANKING REGULATIONS 1966 (AU), THE BILLS OF EXCHANGE ACT 1909 (AU), THE PAYMENT SYSTEMS REGULATION ACT 1998 (AU) AND THE UNCITRAL CONVENTION ON BILLS OF EXCHANGE AND PROMISSORY NOTES 1990 (UNITED NATIONS).

CFI CODES ARE SIX DIGITS IN LENGTH AND ARE UTILIZED TO CLASSIFY AND IDENTIFY THE TYPE AND CHARACTERISTICS OF FINANCIALS INSTRUMENTS NORMALLY USED FOR CLEARING AND SETTLEMENT. CFI CODES ARE USED WORLDWIDE AND ARE IN THE FAMILY OF SECURITIES IDENTIFIERS SUCH AS ISIN CODES, CUSIP NUMBERS, SEDOL CODES AND OTHER LOCAL IDENTIFIERS.

THE CFI CODE HAS BEEN DEVELOPED TO ADDRESS A NUMBER OF PROBLEMS WHICH HAVE CONCERNED THE FINANCIAL COMMUNITY ON THE PAST YEARS. AMONG OTHERS THE FOLLOWING PROBLEMS HAVE AFFECTED THE FINANCIAL COMMUNITY:

- LACK OF CONSISTENT AND UNIFORM APPROACH TO GROUPING FINANCIAL INSTRUMENTS
- USE OF SIMILAR TERMINOLOGY FOR INSTRUMENTS HAVING SIGNIFICANTLY DIFFERENT FEATURES IN THE DIFFERENT COUNTRIES
- INABILITY TO GROUP SECURITIES IN A CONSISTENT MANNER LEADING TO REPORTS OF HOLDINGS BEING CATEGORIZED DIFFERENTLY.

THE BENEFITS OF THE NEW CODE ARE:

- DEFINITION AND DESCRIPTION FOR AN INTERNATIONALLY VALID SYSTEM TO CLASSIFY FINANCIAL INSTRUMENTS
- PROVISION OF A SET OF CODES TO BE USED BY ALL MARKET PARTICIPANTS IN AN EDP ENVIRONMENT AND PERMISSION OF ELECTRONIC COMMUNICATION BETWEEN PARTICIPANTS
- IMPROVED UNDERSTANDING OF THE CHARACTERISTICS OF FINANCIAL INSTRUMENTS WILL LEAD TO A BETTER UNDERSTANDING BY INVESTORS.

THE STRUCTURE OF THE CFI CODE:

THE CFI REFLECTS CHARACTERISTICS THAT ARE DEFINED WHEN A FINANCIAL INSTRUMENT IS ISSUED AND REMAIN UNCHANGED DURING ITS ENTIRE LIFETIME.

THE CFI CONSISTS OF SIX ALPHABETICAL CHARACTERS:

THE FIRST CHARACTER INDICATES THE HIGHEST LEVEL OF CLASSIFICATION (CATEGORIES).

CATEGORIES:

- EQUITIES (E)
- COLLECTIVE INVESTMENT VEHICLES (C)
- DEBT INSTRUMENTS (D)
- ENTITLEMENT (RIGHTS) (R)
- LISTED OPTIONS (O)
- FUTURES (F)
- SWAPS (S)
- NON-LISTED AND COMPLEX LISTED OPTIONS (H)
- SPOT (I)
- FORWARDS (J)
- STRATEGIES (K)
- FINANCING (L)
- REFERENTIAL INSTRUMENTS (T)
- OTHERS (MISCELLANEOUS) (M)

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THE SECOND CHARACTER INDICATES SPECIFIC GROUPS WITHIN EACH CATEGORY:

GROUPS E.G. FOR EQUITIES:

- COMMON/ORDINARY SHARES
- PREFERRED/PREFERENCE SHARES
- COMMON/ORDINARY CONVERTIBLE SHARES
- PREFERRED/PREFERENCE CONVERTIBLE SHARES
- LIMITED PARTNERSHIP UNITS
- DEPOSITARY RECEIPTS ON EQUITIES
- STRUCTURED INSTRUMENTS (PARTICIPATION)
- OTHERS (MISCELLANEOUS)

THE THIRD TO SIXTH CHARACTER INDICATE THE MOST IMPORTANT ATTRIBUTES TO EACH GROUP:

ATTRIBUTES E.G. FOR EQUITIES:

- VOTING RIGHT
- OWNERSHIP/TRANSFER RESTRICTIONS
- PAYMENT STATUS
- FORM

[HTTP://WWW.ISIN.ORG/CFI-NUMBER-ASSIGNMENT/](http://www.isin.org/cfi-number-assignment/)

DRAWEE

THE PERSON OR ORGANIZATION, TYPICALLY A BANK, WHO MUST PAY A DRAFT OR BILL.

FISN Code; OENOVIVA/BEX 20221001 GTD FM BR

THE "FINANCIAL INSTRUMENT SHORT NAME" (**FISN**) NUMBER ISSUED BY THE ASX TO OVCR ACCORDING TO ISO 18774 IN RESPECT TO INTERNATIONAL BILLS OF EXCHANGE DRAWN BY OVCR UNDER *THE BANKING ACT 1959 (AU)*, *THE BANKING REGULATIONS 1966 (AU)*, *THE BILLS OF EXCHANGE ACT 1909 (AU)*, *THE PAYMENT SYSTEMS REGULATION ACT 1998 (AU)*, *THE PERSONAL PROPERTY SECURITY ACT 2009 (AU)* AND *THE UNCITRAL CONVENTION ON BILLS OF EXCHANGE AND PROMISSORY NOTES 1990 (UNITED NATIONS)*.

THE NEW STANDARD FOR THE FINANCIAL INSTRUMENT SHORT NAME (ISO 18774) HAS BEEN DEVELOPED BY ISO WORK GROUP WG 14 TO PROVIDE A CONSISTENT AND UNIFORM APPROACH TO STANDARDIZE SHORT NAMES AND DESCRIPTIONS FOR FINANCIAL INSTRUMENTS. THE STANDARD WAS APPROVED BY BALLOT IN SEPTEMBER 2014.

THE ISO 18774 STANDARD INCORPORATES THE ISSUER SHORT NAME AND THE ABBREVIATED CHARACTERISTICS FOR THE FINANCIAL INSTRUMENT. IT HAS A MAXIMUM LENGTH OF 35 ALPHANUMERIC CHARACTERS. UNLIKE OTHER ISO-STANDARD FINANCIAL INSTRUMENT IDENTIFICATION CODES, THE FISN IS NOT MEANT TO BE MACHINE-READABLE, BUT TO PROVIDE A SHORT FORMAT FOR ESSENTIAL INFORMATION ABOUT A SECURITY FOR HUMAN USE.

THE FISN IS COMPOSED OF:

- ❖ AN "/" AS THE DELIMITER BETWEEN ISSUER NAME AND INSTRUMENT DESCRIPTION
- ❖ AN ISSUER NAME WITH A MAXIMUM LENGTH OF 15 ALPHANUMERIC CHARACTERS. TO ENSURE UNIFORMITY, THE SAME ISSUER SHORT NAME SHOULD BE USED FOR DIFFERENT FINANCIAL INSTRUMENTS OF THE SAME ISSUER.
EXCEPTION: IN THE CASE OF CIVS (COLLECTIVE INVESTMENT VEHICLES) ISSUER NAME MIGHT BE LONGER.
- ❖ AN INSTRUMENT DESCRIPTION WITH A MAXIMUM LENGTH OF 19 ALPHANUMERIC CHARACTERS, ASSUMING THAT THE AVAILABLE LENGTH OF THE ISSUER NAME HAS BEEN FULLY USED. IN THE EVENT THAT ALL THE 15 CHARACTERS HAVE NOT BEEN USED IN THE ISSUER SHORT NAME, THE REMAINING SPACE MAY BE USED TO DESCRIBE THE CHARACTERISTICS OF THE FINANCIAL INSTRUMENT.

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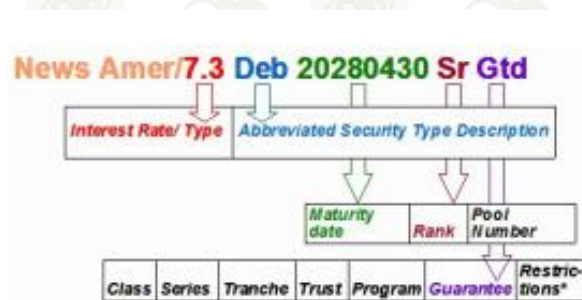
Email: admin@oenoviva-capital-resources.com

FISN CHARACTERISTICS

THE ISO 18774 STANDARD PROVIDES RULES DEFINING HOW THIS DESCRIPTION IS TO BE FORMATTED.

AS SHOWN IN RED IN THE GRAPHIC BELOW, THE FIRST PART OF THE CODE IS BASED ON A STANDARDIZED ABBREVIATION OF THE ISSUER NAME, UP TO A MAXIMUM OF 15 CHARACTERS (E.G. “IBM”, “MICROSOFT”, “KRANZCO RLTY TR”, “DZ BANK AG”)

THE NEXT PART, SHOWN IN BLUE, IS A STANDARDIZED SHORT DESCRIPTION OF THE INSTRUMENT. THE FORMAT OF THE SUBSEQUENT SEGMENTS REFLECTS THE TYPE OF FINANCIAL INSTRUMENT (DEBT, EQUITY, FUND, ETC).



HOLDER OF THE BILL IN DUE COURSE

LEGAL TERM FOR AN ORIGINAL OR ANY SUBSEQUENT HOLDER OF A NEGOTIABLE INSTRUMENT (CHECK, DRAFT, BILL, NOTE, ETC.) WHO HAS ACCEPTED IT IN GOOD-FAITH AND HAS EXCHANGED SOMETHING VALUABLE FOR IT RESULTING IN A PERSONAL PROPERTY SECURITY INTEREST AS A STATUTORY, EQUITABLE AND CONTRACTUAL CHARGE CAPABLE OF BEING REGISTERED OVER THE DRAWER

INSTRUMENT

A NEGOTIABLE FINANCIAL INSTRUMENT SUCH AS A UNCITRAL INTERNATIONAL BILL OF EXCHANGE AND/OR UNCITRAL INTERNATIONAL PROMISSORY NOTE AND/OR OTHERWISE ISSUED UNDER *THE BANKING ACT 1959 (AU)*, *THE BANKING REGULATIONS 2016 (AU)*, *THE BILLS OF EXCHANGE ACT 1909 (AU)*, *THE PAYMENT SYSTEMS REGULATION ACT 1998 (AU)* AND *THE UNCITRAL CONVENTION ON BILLS OF EXCHANGE AND PROMISSORY NOTES 1990 (UNITED NATIONS)*.

ISIN CODE: AU0000023194

THE ISO 6166 STANDARD DESCRIBES THE STRUCTURE OF THE INTERNATIONAL SECURITIES IDENTIFICATION NUMBER (ISIN) CODES AND WHICH ORGANISATIONS ARE ALLOWED TO ALLOCATE ISIN CODES TO SECURITIES.

COMPETENCE ON THE ALLOCATION AND MAINTENANCE OF ISIN CODES IS SPLIT BETWEEN NNAs AND DSB, A NUMBERING AGENCY DESIGNED TO OPERATE ON A GLOBAL BASIS AS REGARDS THE OTC DERIVATIVES.

GUIDELINES ARE PUBLISHED TO OBTAIN A UNIFORM PROCESS AMONG THE VARIOUS NATIONAL NUMBERING AGENCIES FOR THE ALLOCATION OF ISIN CODES UNDER THEIR COMPETENCE.

IN LINE WITH THE ISIN QUALITY MANAGEMENT CONCEPT, AS DEFINED BY THE ASSOCIATION OF NATIONAL NUMBERING AGENCIES (ANNA, REGISTRATION AUTHORITY FOR ISO 6166), WE ENCOURAGE THE USE OF THE LIST OF OPERATIONAL

FOR OTC DERIVATIVES, THE DSB ISIN GUIDELINES SHOULD BE CONSULTED, AVAILABLE AT WWW.ANNA-DSB.COM .

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OENOVIVA

1.1 IDENTIFICATION OF A FINANCIAL INSTRUMENT

THE ALLOCATION OF AN ISIN CODE REPRESENTS THE IDENTIFICATION OF A FINANCIAL INSTRUMENT RATHER THAN THE MARKET A FINANCIAL INSTRUMENT TRADES ON. FUNGIBLE SECURITIES WILL BE IDENTIFIED BY ONE ISIN.

1.2

SET OF GOODS WHICH ARE EQUIVALENT, SUBSTITUTABLE, AND INTERCHANGEABLE AND WHICH ARE NOT INDIVIDUALIZED.

SINCE SECURITIES CAN BE INDIVIDUALIZED BY NUMBERS OR THROUGH A REGISTERED FORM, WE WILL USE THE FOLLOWING DEFINITION:

GENERAL DEFINITION OF FUNGIBILITY ; *FUNGIBILITY WITHIN THE SECURITIES INDUSTRY MEANS THAT A SECURITY RANKS PARI PASSU IN ALL RESPECTS WITH A DIFFERENT VERSION OF THE SAME SECURITY AND CAN BE EXCHANGED INTO THE OTHER FORM AND VICE VERSA AT ANY TIME.*

1.3

RIGHTS POTENTIALLY ATTACHED TO FUNGIBLE SECURITIES

- RIGHT TO SELL
- RIGHT TO VOTE
- RIGHT TO RECEIVE A PAYMENT (COUPON, REDEMPTION) ON THE SAME DATE
- RIGHT TO PARTICIPATE IN A CORPORATE ACTION IN THE SAME WAY
- RIGHT FOR ANY HOLDER TO HOLD ANY FORM

SEARCH OF AN ISIN CODE MAY BE UNDERTAKEN IN TWO WAYS

1. SEARCH OF THE LOCAL NUMBERING AGENCY WHO ISSUED THE ISIN CODE ;

[HTTPS://SEARCH.ASX.COM.AU/S/SEARCH.HTML?QUERY=ISIN+SERVICES&COLLECTION=ASX-META&PROFILE=WEB](https://search.asx.com.au/s/search.html?query=isin+services&collection=asx-meta&profile=web)

DOWNLOAD THE LIST OF ISIN CODES ISSUED AND SEARCH THE SPREADSHEET

2. SEARCH OF THE ANNA ISIN SITE

[HTTPS://WWW.ANNASERVICE.COM/ANNA/ANNA/IN/LOGIN.JSP](https://www.annaservice.com/anna/anna/in/Login.jsp)

https://www.annaservice.com/anna/anna/in/Login.jsp

Internet Banki... Federal Court of Au... New Tab federal Fiscal Asset Manag... Westpac - Personal... Booking Step 3 | Pa... Contact Us - Conve... X Cc

Association of National Numbering Agencies

ANNA
ISIN NUMBERS THE WORLD

SEARCH PORTFOLIO EXPORT LOG OFF

SEARCH ISIN

ISIN Primary ISIN

Instrument Category All
Equity (EQ)
Debt (DT)
Collective Investment Vehicles (CV)
Entitlements/Rights (EN)

Status All
New (N)
Updated (U)
Deleted (D)

Registration Country AI - ANGUILLA
AL - ALBANIA
AM - ARMENIA
AN - NETHERLANDS ANTILLES
AO - ANGOLA

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Currency	ARGENTINE PESO (ARS) SCHILLING (ATS) AUSTRALIAN DOLLAR (AUD) ARUBAN GUILDER (AWG) AZERBAIJANIAN MANAT (AZM) AZERBAIJANIAN MANAT (AZM)
Entity Name	Issuer Name (long) <input type="text"/> Contains <input type="text"/>
Issue Description	<input type="text"/> Contains <input type="text"/>
Interest Rate	From <input type="text"/> To <input type="text"/>
Maturity/Expiry Date	From <input type="text"/> To <input type="text"/> <small>(yyyy-mm-dd)</small>
Last Update	From <input type="text"/> To <input type="text"/> <small>(yyyy-mm-dd)</small>
Issuer Supranational	<input type="checkbox"/>
SEARCH	

MANAGING CONTROLLER

IS THE DRAWER/SECURED PARTY APPOINTED AS MANAGING CONTROLLER UNDER THE LAW TO THE ASSETS OF REGINA (MANAGING CONTROLLER APPOINTED) WHEREVER LOCATED IN THE TERRITORIES OF THE STATES, TERRITORIES AND COMMONWEALTH OF AUSTRALIA AND OTHERWISE IN THE TERRITORY OF THE WORLD AS SET OUT IN THE NOTICE OF SEIZURE OF COLLATERAL/CRYSTALLIZATION OF CHARGES/DEED & NOTICE OF APPOINTMENT OF MANAGING CONTROLLER DATED 1ST JUNE 2019 SERVED ON REGINA (MANAGING CONTROLLER APPOINTED) ON 3RD JUNE 2019 AND OTHERWISE.

THE AUSTRALIAN FINANCIAL SECURITY AUTHORITY SUBMISSIONS DATED AUGUST 2014 TO A SECOND INQUIRY INTO ASIC FOLLOWING THE 2010 INQUIRY SETS OUT THE AUTHORITY'S INTERPRETATION OF A MANAGING CONTROLLER AS

Non-receiver controllers and managing controllers

21. A person that takes possession or control of a corporation's property for the purpose of enforcing a security agreement who is not a receiver or receiver manager is called a 'controller'. There are some obligations and duties on controllers in relation to reporting and exercising powers of sale. ASIC and the court have powers to inquire into their actions, and the court may remove a controller for misconduct. Under the Corporations Act, there are no restrictions on who can be appointed as a non-receiver **controller**/managing controller.

THE PERSONAL PROPERTY SECURITY ACT 2009 (CTH) SETS OUT;

116 APPLICATION WHILE THERE IS A RECEIVER OR ANOTHER CONTROLLER OF PROPERTY

(1) THIS CHAPTER DOES NOT APPLY IN RELATION TO PROPERTY WHILE A PERSON IS A CONTROLLER OF THE PROPERTY IN EITHER OF THE FOLLOWING CAPACITIES:

- (A) RECEIVER;
- (B) RECEIVER AND MANAGER.

NOTE: SEE PART 5.2 OF THE CORPORATIONS ACT 2001 FOR THE POWERS, FUNCTIONS AND DUTIES OF RECEIVERS, AND OTHER CONTROLLERS, OF THE PROPERTY OF CORPORATIONS.

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(2) THIS CHAPTER (EXCEPT SECTION 131) APPLIES IN RELATION TO PROPERTY WHILE A PERSON IS A CONTROLLER OF THE PROPERTY IN A CAPACITY OTHER THAN THOSE MENTIONED IN SUBSECTION (1) OF THIS SECTION.

NOTE 1: SECTION 131 REQUIRES A SECURED PARTY DISPOSING OF COLLATERAL TO OBTAIN MARKET VALUE FOR THE COLLATERAL. SECTION 420A OF THE CORPORATIONS ACT 2001 SIMILARLY REQUIRES A CONTROLLER EXERCISING A POWER OF SALE TO OBTAIN MARKET VALUE FOR THE PROPERTY SOLD.

NOTE 2: SUBSECTION 115(7) ENABLES THE PARTIES TO A SECURITY AGREEMENT TO CONTRACT OUT OF THE APPLICATION OF PART 4.3 UNDER SUBSECTION (2) OF THIS SECTION.

(3) DESPITE SUBSECTION (1), IF A GRANTOR OF A SECURITY INTEREST IN PROPERTY IS AN INDIVIDUAL, THIS CHAPTER APPLIES IN RELATION TO THE SECURITY INTEREST WHILE A PERSON IS A RECEIVER, OR A RECEIVER AND MANAGER, OF THE PROPERTY.

(4) IN THIS SECTION, EACH OF THE FOLLOWING TERMS, IN RELATION TO PROPERTY OF A CORPORATION, HAS THE SAME MEANING AS IN THE CORPORATIONS ACT 2001:

(A) CONTROLLER;

(B) RECEIVER;

(C) RECEIVER AND MANAGER.

NOTICES OF DEMANDS FOR PAYMENT

DEMANDS FOR PAYMENT SERVED ON THE ATTORNEYS GENERAL OF THE STATES, TERRITORIES AND THE COMMONWEALTH OF AUSTRALIA IN ACCORDANCE WITH LAW DATED 30TH SEPTEMBER 2018, 17TH DECEMBER 2018, 9TH JANUARY 2019, 21ST FEBRUARY 2019, 9TH APRIL 2019 ANNEXED TO THE NOTICE OF SEIZURE OF COLLATERAL/CRYSTALLIZATION OF CHARGES/DEED & NOTICE OF APPOINTMENT OF MANAGING CONTROLLER DATED 1ST JUNE 2019 SERVED 3RD JUNE 2019

NOTICE OF SEIZURE OF COLLATERAL/CRYSTALLISATION OF CHARGES/DEED & NOTICE OF APPOINTMENT OF MANAGING CONTROLLER ("THE NOTICE")

IS THE NOTICE DATED 1ST JUNE 2019 AND ANNEXURES SERVED ON THE 3RD JUNE 2019 & 12TH JULY 2019 THE ATTORNEYS GENERAL OF THE STATES, TERRITORIES AND THE COMMONWEALTH OF AUSTRALIA AND THE UNITED KINGDOM OF GREAT BRITAIN & NORTHERN IRELAND IN ACCORDANCE WITH LAW ANNEXING COPIES OF THE AFOREMENTIONED NOTICES FOR DEMANDS FOR PAYMENT.

PERSONAL PROPERTY SECURITIES ACT 2009 - SECT 123. (1) A SECURED PARTY MAY SEIZE COLLATERAL, BY ANY METHOD PERMITTED BY LAW, IF THE DEBTOR IS IN DEFAULT UNDER THE SECURITY AGREEMENT. ... (B) IF THE INTANGIBLE PROPERTY IS A LICENCE-THE PARTIES TO THE SECURITY AGREEMENT TOGETHER WITH THE LICENSOR OR THE LICENSOR'S SUCCESSOR.

SEE; [HTTPS://WWW.OENOVIVA-CAPITAL-RESOURCES.COM/SEIZURE-NOTICE/](https://www.oenoviva-capital-resources.com/seizure-notice/)

[HTTPS://WWW.OENOVIVA-CAPITAL-RESOURCES.COM/BACKING-ASSET/](https://www.oenoviva-capital-resources.com/backing-asset/)

PAYEE

IS THE PARTY TO WHOM A BILL OF EXCHANGE (SUCH AS A CHECK OR DRAFT) IS MADE PAYABLE AND RECEIVES THE INSTRUMENT PRIOR TO DEPOSIT WITH THE PAYING/COLLECTING BANK

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PERSONAL PROPERTY SECURITY INTEREST

A SECURITY INTEREST THAT IS PERSONAL PROPERTY TO ANY PERSON INCLUDING THE HOLDER OF THE BILL IN DUE COURSE ARISING FROM STATUTE, EQUITY AND/OR CONTRACT THAT IS CAPABLE OF BEING REGISTERED OVER THE DRAWER UNDER THE PROVISIONS OF *THE PERSONAL PROPERTY SECURITY ACT* 2009 (AU) AND/OR THE PROVISIONS OF *THE UNIVERSAL COMMERCIAL CODE* AND/OR OTHERWISE. (SEE ANNEXURE 1)

THE LACK OF REGISTRATION DOES NOT INVALIDATE THE PERSONAL PROPERTY SECURITY INTEREST

PPSR REGISTRATION No 201812270025300

REGISTRATION NO 201812270025300 AS AMENDED 27.12.2018 IS ONE OF MANY NOTICES OF REGISTRATION OF A SECURITY INTEREST IN THE HANDS OF THE DRAWER OVER THE ASSETS OF THE BRITISH CROWN ARISING FROM S61 OF THE COMMONWEALTH OF AUSTRALIA CONSTITUTION ACT 1900 (UK) PRIOR TO THE SERVICE OF THE AFOREMENTIONED NOTICE OF SEIZURE OF COLLATERAL/NOTICE OF CRYSTALLISATION OF CHARGES/DEED OF APPOINTMENT OF MANAGING CONTROLLER DATED 1ST JUNE 2019 AND REFERRED TO IN THE NOTICE. [HTTPS://WWW.OENOVIVA-CAPITAL-RESOURCES.COM/SEIZURE-NOTICE/](https://www.oenoviva-capital-resources.com/seizure-notice/)

SERIAL NUMBER

(“SN”) IS THE SERIAL NUMBER OF INSTRUMENT GENERATED INTERNALLY BY OVCR FOR EXAMPLE THE BACKING INSTRUMENT SET OUT AT [HTTPS://WWW.OENOVIVA-CAPITAL-RESOURCES.COM/BACKING-ASSET/](https://www.oenoviva-capital-resources.com/backing-asset/)

SN; 1.00065/18 ;

- SN; SERIAL NUMBER OF INSTRUMENT IN SERIES
- (1) COUNTRY CODE OF APPLICABLE COUNTRY FIAT CURRENCY/
- (.00065) NUMBER IN SERIES OF ISSUE OF INSTRUMENT /
- (2018) YEAR OF ISSUE

STATUTORY, EQUITABLE & CONTRACTUAL CHARGES

THE CHARGE ARISING UNDER THE PROVISIONS OF A STATUTE, EQUITY OR CONTRACT AS A PERSONAL PROPERTY SECURITY INTEREST ARISING IN THE HANDS OF THE CHARGE HOLDER THAT IS CAPABLE OF BEING REGISTERED UNDER THE PROVISIONS OF *THE PERSONAL PROPERTY SECURITY ACT* 2009 (AU) AND/OR THE PROVISIONS OF *THE UNIVERSAL COMMERCIAL CODE* AND/OR OTHERWISE.

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APPLICABLE LAWS TO NEGOTIABLE INSTRUMENTS INCLUDING UNCITRAL INTERNATIONAL BILLS OF EXCHANGE

AUSTRALIAN TREATY SERIES (1940) NO 5;

AUSTRALIAN TREATY SERIES (1966) NO 23; THE INTERNATIONAL COVENANT ON CIVIL AND POLITICAL RIGHTS

[HTTP://WWW.AUSTLII.EDU.AU/AU/OTHER/DFAT/TREATIES/1980/23.HTML](http://www.austlii.edu.au/au/other/dfat/treaties/1980/23.html)

AUSTRALIAN TREATY SERIES (1991) NO 39; [FIRST] OPTIONAL PROTOCOL TO THE INTERNATIONAL COVENANT ON CIVIL AND POLITICAL RIGHTS

[HTTP://WWW.AUSTLII.EDU.AU/AU/OTHER/DFAT/TREATIES/1991/39.HTML](http://www.austlii.edu.au/au/other/dfat/treaties/1991/39.html)

CHARTER OF THE COMMONWEALTH OF NATIONS 2013

[HTTPS://DFAT.GOV.AU/INTERNATIONAL-RELATIONS/INTERNATIONAL-ORGANISATIONS/COMMONWEALTH-OF-NATIONS/PAGES/THE-COMMONWEALTH-OF-NATIONS.ASPX](https://dfat.gov.au/international-relations/international-organisations/commonwealth-of-nations/pages/the-commonwealth-of-nations.aspx)

CHARTER OF THE UNITED NATIONS 1945

[HTTPS://DFAT.GOV.AU/INTERNATIONAL-RELATIONS/INTERNATIONAL-ORGANISATIONS/UN/PAGES/UNITED-NATIONS-UN.ASPX](https://dfat.gov.au/international-relations/international-organisations/un/pages/united-nations-un.aspx)

THE RESERVE BANK ACT 1959 (AU)

[HTTPS://WWW.LEGISLATION.GOV.AU/DETAILS/C2015C00201](https://www.legislation.gov.au/details/c2015c00201)

THE BANKING ACT 1959 (AU)

[HTTPS://WWW.LEGISLATION.GOV.AU/DETAILS/C2019C00165](https://www.legislation.gov.au/details/c2019c00165)

THE BANKING REGULATIONS 2016 (AU)

[HTTPS://WWW.LEGISLATION.GOV.AU/DETAILS/F2016L01901](https://www.legislation.gov.au/details/f2016l01901)

BILLS OF EXCHANGE ACT 1909 ACT AUSTRALIA No. 27 OF 1909 AS AMENDED:

[HTTPS://WWW.LEGISLATION.GOV.AU/DETAILS/C2012C00128](https://www.legislation.gov.au/details/c2012c00128)

THE PAYMENT SYSTEMS REGULATION ACT 1998 (AU)

[HTTPS://WWW.LEGISLATION.GOV.AU/DETAILS/C2016C00591](https://www.legislation.gov.au/details/c2016c00591)

PERSONAL PROPERTY SECURITY ACT 2009 (AU)

[HTTPS://WWW.LEGISLATION.GOV.AU/DETAILS/C2018C00093](https://www.legislation.gov.au/details/c2018c00093)

UNITED NATIONS CONVENTION ON INTERNATIONAL BILLS OF EXCHANGE AND INTERNATIONAL PROMISSORY NOTES 1980 (UN):

[HTTPS://WWW.UN.ORG/DOCUMENTS/GA/RES/43/A43R165.HTM](https://www.un.org/documents/ga/res/43/a43r165.htm)

UNIVERSAL COMMERCIAL CODE

[HTTP://PARA-LEGAL.ORG.AU/THE-U-C-C-UNIVERSAL-COMMERCIAL-CODE-AND-AUSTRALIAN-LAW/](http://para-legal.org.au/the-u-c-c-universal-commercial-code-and-australian-law/)

[HTTPS://WWW.LAW.CORNELL.EDU/UCC/INDEX.HTML](https://www.law.cornell.edu/ucc/index.html)

[HTTPS://WWW.LAW.CORNELL.EDU/UCC/9](https://www.law.cornell.edu/ucc/9)

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REFERENCE MATERIAL

ASSOCIATION OF NATIONAL NUMBERING AGENCY;

[HTTPS://WWW.ANNA-WEB.ORG/](https://www.anna-web.org/)

ISIN UNIRON GUIDELINES RELATING TO ISO;

[HTTPS://WWW.ANNA-WEB.ORG/WP-CONTENT/UPLOADS/2018/05/ISIN-GUIDELINES-VERSION-14_CLEAN.PDF](https://www.anna-web.org/wp-content/uploads/2018/05/ISIN-GUIDELINES-VERSION-14_CLEAN.PDF)

AUSTRALIAN LIQUIDITY CENTRE;

[HTTPS://WWW.ASX.COM.AU/DOCUMENTS/TRADING_SERVICES/ALC-BROCHURE-MORE-INFORMATION.PDF](https://www.asx.com.au/documents/trading_services/alc-brochure-more-information.pdf)

CLASSIFICATION OF FINANCIAL INSTRUMENTS;

[HTTPS://WWW.ANNA-WEB.ORG/STANDARDS/CFHS0-10962/](https://www.anna-web.org/standards/cfhs0-10962/)

AUSTRALIAN SECURITIES AND INVESTMENT COMMISSION (ASIC);

[HTTPS://ASIC.GOV.AU/](https://asic.gov.au/)

THE PPSA: THE EXTENDED REACH OF THE DEFINITION OF THE PPSA SECURITY INTEREST

[HTTPS://WWW.AUSTLII.EDU.AU/AU/JOURNALS/UNSWLJ/2011/21.PDF](https://www.austlii.edu.au/au/journals/UNSWLJ/2011/21.pdf)

REVIEW OF THE PERSONAL PROPERTY SECURITIES ACT 2009

[HTTPS://WWW.AG.GOV.AU/CONSULTATIONS/DOCUMENTS/PPSREVIEW/REVIEWOFTHEPERSONALPROPERTYSECURITIESACT2009FINALREPORT.PDF](https://www.ag.gov.au/consultations/documents/PPSREVIEW/REVIEWOFTHEPERSONALPROPERTYSECURITIESACT2009FINALREPORT.PDF)

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